IAP15 Rec'd PCT/PTO 3 0 AUG 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 516/12 15 n, see 37 CFR 1.5)

INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 03 March 2005 04 March 2004 PCT/IB2005/000543 TITLE OF INVENTION Catheter for Aspirating, Fragmenting and Removing Material APPLICANT(S) FOR DO/EO/US Immanuel Straub Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). | X | has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6 X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. (including 18 drawings) has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. 14 X An Application Data Sheet under 37 CFR 1.76. 15. X A substitute specification. (including Marked-Up and Clean Versions) A power of attorney and/or change of address letter. 16 l A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICA	591"1°C	see 37 CFR 1.5)	INTERNATIONAL AP PCT/IB2005/00054		ATTORNEY'S DO 516/12	CKET NUMBER
20. Other	items or information	_	by of the Title Page		ational Publishe	d Application
The fol	lowing fees have b	een submitted			CALCULATIONS	PTO USE ONLY
				\$300	\$ 300.00	
22. X Example 22. If the written opin by IPEA	mination fee (37 Cl mion prepared by IS /US indicates all cl ons	\$ 200.00				
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 500.00	
TOTAL OF 21, 22 and 23 =					\$1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE						
		thereof (round	up to a whole number)		1 s	ļ
- 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$	
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	5	25 - 20 =	5	× \$50	\$ 250.00	
Independent claims		3 - 3 =	0	x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360				+ \$360	\$	
		\$ 1,250.00				
X Applicant cl	aims small entity s					
		\$ 625.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
		\$ 625.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
	······································	\$ 625.00				
					Amount to be refunded:	\$
					Amount to be charged	\$

AP9 Rec'd PCT/PT0 3 0 AUG 2006 PTO-1390 (Rev. 07-2005)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

,							
a. A check in the amount of \$ to cover the ab	pove fees is enclosed.						
b. Please charge my Deposit Account No in the amount of A duplicate copy of this sheet is enclosed.	f \$ to cover the above fees.						
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0223</u> . A duplicate copy of this sheet is enclosed.							
Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO: Kevin M. Curran, Esq. KAPLAN GILMAN GIBSON & DERNIER LLP 900 Route 9 North, Suite 504 Woodbridge, New Jersey 07095 Telephone (732) 634-7634	SIGNATURE Kevin M. Curran NAME 43,571 REGISTRATION NUMBER						

10/591100

KAPLAN GILMAN GIBSON & DERNIER LLP IAP9 Rec d PCT/PTU 3 0 AUG 2006

MICHAEL R. GILMAN'
JEFFREY I. KAPLAN
TIMOTHY X. GIBSON
MATTHEW B. DERNIER

900 ROUTE 9 NORTH, WOODBRIDGE, NJ 07095 TELEPHONE (732) 634-7634, FACSIMILE (732) 634-6887

WWW.KGGD.COM

OF COUNSEL
JOSEPH B. LERCH
KEVIN M. CURRAN

REG. PATENT ATTORNEY

LESLIE S. GARMAISE

I NY AND CT BAR ONLY
II NY AND IL BAR ONLY
II CO, IL AND TX BAR ONLY

51,EV739151368US

August 30, 2006

VIA EXPRESS MAIL

Mail Stop: PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Re: Applicant: Immanuel Straub

Title of Invention: CATHETER FOR ASPIRATING, FRAGMENTING AND REMOVING MATERIAL International Application No.: PCT/IB2005/000543

International Filing Date: 03 March 2005

Attorney Docket No.: 516/12

Dear Sir:

Submitted herewith are documents constituting a U.S. Patent Application under 35 U.S.C. § 371. The documents include:

- 1. A Transmittal Letter expressly requesting to begin national examination procedures;
- 2. A literal translation of the International Application, as filed, into English (including drawings) and translation verification;
- 3. A copy of the Title Page of the published International Application, publication WO 2005/084562 A2
- 4. A Preliminary Amendment (including clean and marked-up versions of the substitute specification);
- 5. Application Data Sheet;
- 6. An Information Disclosure Statement, PTO/SB/08A form and cited references;
- 7. A self addressed, postage prepaid return postcard; and
- 8. Completed Credit Card form.

Patents • Trademarks • Copyrights • Licensing • Litigation

NEW YORK OFFICE:

Commissioner for Patents August 30, 2006 Page 2

The Commissioner is authorized to charge any additional fees which may be required, or to credit any overpayment to our Deposit Account No. 11-0223.

Very truly yours,

KAPLAN GILMAN GIBSON & DERNIER LLP

Kevin M. Curran kcurran@kggd.com Reg. No. 43,571

KMC/pa Enclosures

F:\Clients\Patentburo Paul Rosenich-516\S16-12\S16-12; U5US; Catheter_Cover Letter Cen of Express mail.doc